

08-06-01

PTO/SB/05 (11-00)

Please type a plus sign (+) inside this box →

ed for use through 10/31/02. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

08/03/01

1046 U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. 500862000105

First Inventor

Philippe POULETTY and Christine POULETTY

Title

REACTIVELY MODIFIED THERAPEUTIC COMPOUNDS HAVING AN
EXTENDED LIFETIME IN VIVO (As Amended)

Express Mail Label No.

EL209765426US

1046 U.S. PTO
09/921663

08/03/01

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EL209765426US

Date of Deposit: August 3, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.40 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

EL209765426US

CHASE J. TROMBELLA

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 23]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a
computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC 113) [Total Sheets]
5. Oath or Declaration [Total Pages 3]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the
prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76 - 2 pgs

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
- c. ☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement
(where there is an assignee)
Copy from prior application
No. 09/539,766 - 19 pgs
11. ☐ English Translation document (if applicable)
12. ☒ Information Disclosure Statement
(IDS) - 3 pgs AND
PTO-1449 - 5 pgs
13. ☒ Preliminary Amendment - 3 pgs
14. ☒ Return Receipt Postcard (MPEP 503)
Should be specifically itemized
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant
must attach form PTO/SB/35 or its equivalent.
17. ☒ Other Fee Determination Record - 1 pg

Power of Attorney
- copy from
prior
application
09/539,766 - 3
pgs64 REFERENCES
CITED ONLY

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/539,766 filed March 31, 2000; which is a
CONTINUATION application of 08/477,900 filed June 7 1995 now Patent No. 6,103,233; which is a CONTINUATION application of
08/237,346 filed May 3, 1994 now US Patent No. 5,612,034; which was a CIP of 08/137,821 filed October 15, 1993 (Abandoned)

Prior application information:

Examiner TO BE ASSIGNED

Group / Art Unit: 1641

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ *Customer Number or Bar Code Label*


or ☐ Correspondence address below



20872

PATENT TRADEMARK OFFICE

(Insert Customer No. or Attach bar code label here)

| | | | | | | | |
|-------------------|-------------------------|---|--|-------|-----------------------------------|----------|----------------|
| Name | MORRISON & FOERSTER LLP | | | | | | |
| Address | 425 Market Street | | | | | | |
| | | | | | | | |
| City | San Francisco | | | State | CA | Zip Code | 94105-2842 |
| Country | | Telephone | | | | Fax | |
| Name (Print/Type) | | MICHAEL R. WARD | | | Registration No. (Attorney/Agent) | | 38,651 |
| Signature | |  | | | Date | | August 3, 2001 |

[illegible]

FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|---------------------------|
| Application Number | TO BE ASSIGNED |
| Filing Date | HEREWITH |
| First Named Inventor | Phillipe POULETTY, et al. |
| Examiner Name | To Be Assigned |
| Group Art Unit | 1641 |
| Attorney Docket No. | 500862000105 |

TOTAL AMOUNT OF PAYMENT

(\$)**364.00**

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

03-1952

Deposit
Account
Name

Morrison & Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|-------------|
| 101 | 710 | 201 | 355 | Utility filing fee | \$355 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$)**355.00**

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|-----------------------|-----------------|-------------------|-----------|
| 21 | -20** = | 1 x \$9 | = \$ 9.00 |
| Independent Claims | 2 -3** = | -0- x -0- | = \$ -0- |
| Multiple Dependent | | | = \$ -0- |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|---|-------------|
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | Multiple dependent claims, if not paid | |
| 109 | 80 | 209 | 40 | **Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$)**364.00**

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions of the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per properties (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**-0-**

SUBMITTED BY

| | | | | | |
|-------------------|------------------------|--------------------------------------|----------------|-----------|--------------|
| Name (Print/Type) | Michael R. Ward | Registration No. (Attorney/Agent) | 38,651 | Telephone | 415/268-6237 |
| Signature | <i>Michael R. Ward</i> | Date | August 3, 2001 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.